

ArrowMark Receives Innovation of the Year Award ArrowMark's regulatory capital relief effort recognized at SCI Capital Relief Trades Awards 2021

DENVER, COLORADO, October 13, 2021 – ArrowMark Partners received the Innovation of the Year award at Structured Credit Investor's Capital Relief Trades Awards 2021 ceremony held on October 13th.¹ The event recognized issuers, investors, individual transactions, and other third parties for their achievements and contributions to the regulatory capital relief market over the twelve months ending September 30th.

The award was in relation to ArrowMark's work on COLISÉE 2020, a transaction issued by Société Générale in fourth quarter 2020. As the anchor investor and structuring lead, ArrowMark collaborated closely with the issuer to incorporate appropriate structural risk mitigants given the small/medium enterprise-dominant collateral pool and challenging macroeconomic environment. Per Société Générale, "Looking back at 2020, there were no CRT *[capital relief trade]* transactions specific to SMEs *[small/medium enterprises]*. In that sense, we had to be extremely precise in our due diligence and risk analysis."²

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Despite the ongoing impact of the COVID-19 pandemic, ArrowMark maintained a high profile in the asset class over the last twelve months, with over \$1.1bn of investments sourced

from the primary and secondary markets. Recent activity extended the firm's eleven-year track record in the asset class, with approximately \$4.7bn of investments in 66 distinct transactions since 2010.

About ArrowMark Partners

ArrowMark Partners is an employee-owned asset management firm founded in 2007. As of September 30, 2021, the firm manages approximately \$24.1 billion³ in assets on behalf of institutional, financial intermediaries and high net worth investors across alternative credit and capacity constrained equity strategies, as well as through the management of broadly syndicated and middle-market CLOs. ArrowMark is headquartered in Denver, Colorado with additional presence in California, London and New York.

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As of 9/30/2021. ¹Source: Structured Credit Investor. Final selections were made by the SCI editorial team, based on the submissions received, color from other market participants, and their own independent reporting. ²"SCI CRT Awards: Innovation of the Year" Structured Credit Investor, 18 October 2021, <u>https://www.structuredcreditinvestor.com/Article.asp?article=SCI-CRT-Awards:-</u> <u>Innovation-of-the-Year&PUB=&ISS=25139&SID=75159</u> (Password Required). ³ArrowMark AUM includes commercial real estate ("CRE") assets, including commercial mortgage loans that the firm has originated and/or currently manage on behalf of insurance companies and other institutional investors. APT001231